

# EPV Performance University 2021



- z/OS Performance Analysis
- WLM Update
- Db2 Performance Analysis

16th June 2021





# zIIP and SMT



# Agenda

- Introduction
- SMT metrics
- A real case





# Introduction





# Introduction

- “The CPU Activity section reports on logical core and logical processor activity. For each processor, the report provides a set of calculations that are provided at a particular granularity that depends on whether multithreading is disabled or enabled ...”
- “If multithreading is disabled for a processor type, all calculations are at logical processor granularity. If multithreading is enabled for a processor type, some calculations are provided at logical core granularity and some are provided at logical processor (thread) granularity.”

RMF Report Analysis V2R4 SC34-2665-40



# Introduction

- What do you mean by zIIP if you are:
  - PR/SM → PU - Physical Processor - CP - **CORE**
  - z/OS → Logical Processor - LCP - **Logical CORE - Thread**
  - Application → Logical Processor – **Thread**

**SMT terms in red**

# Introduction

- Simultaneous Multi Threading (SMT) is already used on other platforms
- Currently available technologies can't provide big additional improvements in processor speed so IBM started introducing SMT on the Mainframe with the z13 announcement
- Only for zIIP and IFL (for the moment)
- The reason of this prudent approach is that, from Capacity Management point of view, this is a very critical change





# Introduction

- With SMT, multiple instruction streams can be processed simultaneously; when a thread is waiting for a cache miss the core can continue doing work on behalf of the other threads
- Unfortunately, the additional throughput from SMT does not scale very well with the number of threads
- This is because all the threads on a core share some limited resources (e.g. pipes, processor cache, TLB)



# Introduction



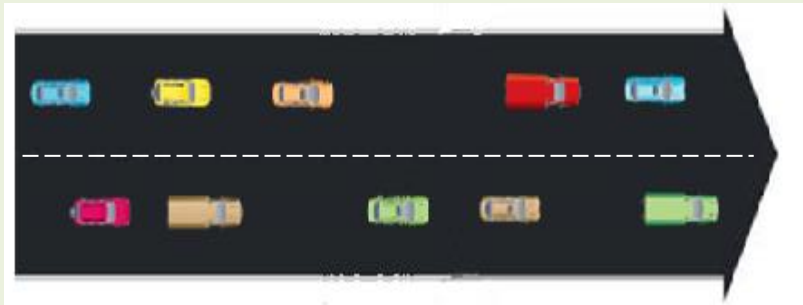
MT-1



Faster execution  
Lower throughput



MT-2



Slower execution  
Higher throughput



# Introduction

- Expected speed reduction when 2 threads active:
  - Similar to having more slower engines
  - In the 30-40% range
- Throughput variability:
  - Throughput depends on workload (threads) characteristics
  - On average up to 40% increase when 2 threads active
  - But it may also decrease



# Introduction

- SMT can provide benefits in terms of zIIP eligible on CPU reduction (or in general more throughput)
- You can track this ratio (ideally it should be 0):  
$$\text{zIIP eligible on CPU time} / \text{zIIP time}$$
- A side effect is that the with SMT the zIIP CPI will slightly increase (speed reduction which means more CP cycles per instruction)





# SMT metrics



# SMT metrics

- New metrics are provided for zIIP in SMF 70 with SMT:
  - Maximum Capacity Factor
  - Capacity Factor
  - Average Thread Density
- New metrics have to be calculated:
  - Core productivity
  - Core utilization



# SMT metrics

- The MT-2 Maximum Capacity Factor (Max CF) is the ratio of the maximum amount of work that can be accomplished using 2 threads to the amount of work that would have been accomplished with 1 thread
- MT-1 Max Capacity Factor is 1.0
- MT-2 Max Capacity Factor is workload dependent; max theoretical value is 2



# SMT metrics

- The MT-2 Capacity Factor (CF) is the ratio of the maximum amount of work that has been accomplished using 1 or 2 threads to the amount of work that would have been accomplished with multithreading disabled
- If most of the time Thread Density (TD) is 1 (only 1 thread is active), CF should be close to 1; if most of the time TD is 2 (two threads are active), CF should be close to MAX CF





# SMT metrics

- IBM disclosed some info about the way they estimate the most relevant new SMT metrics
- Instructions performed and cycles used with 1 and 2 active threads are the base measurements
- Unfortunately, not all these counters are available to users yet



# SMT metrics

- A new MT Diagnostic Counter set is available in SMF 113
- It only includes:
  - Counter 448 – Cycle count with one thread active
  - Counter 449 – Cycle count with two threads active
- They can be used to calculate TD

$$TD = \text{Counter 448} / \text{Counter 449}$$

- But the key metric is MAX CF



# SMT metrics

$$\text{Max CF} = \frac{\text{IPC}_2}{\text{IPC}_1}$$

$$\text{Instructions per cycle (1 thread)} = \text{IPC}_1 = \frac{I_1}{C_1}$$

$$\text{Instructions per cycle (2 threads)} = \text{IPC}_2 = \frac{I_2}{C_2}$$



# SMT metrics

- In this RMF report snapshot you can note that:
  - MT-1 is used for CP; MAX CF, CF and AVG TD value is 1
  - MT-2 is used for zIIP; MAX CF is 1,804 and CF is 1,746
  - zIIP CF and MAX CF are very close because TD is almost 2

```
----- MULTI-THREADING ANALYSIS -----
CPU TYPE      MODE      MAX CF      CF      AVG TD
   CP          1         1.000      1.000      1.000
   IIP         2         1.804      1.746      1.928
```

# SMT metrics

- CORE productivity is the percentage of the maximum core capacity that has been used while the logical core was dispatched to physical hardware
- If CORE productivity equals 100% all threads on the core are executing work and all core resources are being used
- It's calculated as a ratio between CF and MAX CF

$$\text{CORE productivity} = \text{CF} / \text{MAX CF}$$



# SMT metrics

---CPU---		----- TIME % -----				--- MT % ---		
NUM	TYPE	ONLINE	LPAR	BUSY	MVS BUSY	PARKED	PROD	UTIL
0	CP	100.00	4.28		4.15	0.00	100.00	4.28
1	CP	100.00	1.41		1.42	0.00	100.00	1.41
TOTAL/AVERAGE			2.84		2.79		100.00	2.84
2	IIP	100.00	76.08		75.23	0.00	96.48	73.40
					71.13	0.00		
6	IIP	100.00	67.62		66.22	0.00	97.07	65.64
					64.94	0.00		
TOTAL/AVERAGE			71.85		69.38		96.78	69.52
----- MULTI-THREADING ANALYSIS -----								
CPU	TYPE	MODE	MAX	CF	CF	AVG	TD	
	CP	1	1.000		1.000	1.000		
	IIP	2	1.804		1.746	1.928		

$$\text{MT \% PROD} = 1,746 / 1,804 = 96,78$$



# SMT metrics

- zIIP LPAR busy simply tells you that the logical core is dispatched
- CORE utilization is supposed to be a more precise metric than zIIP LPAR busy; it should tell you how much work the CORE can still execute
- CORE utilization is calculated by multiplying zIIP LPAR busy and CORE productivity

$$\text{CORE Utilization} = \text{zIIP LPAR busy} * \text{CORE productivity}$$

- CORE utilization is automatically used in EPV instead of zIIP LPAR busy when SMT is active



# SMT metrics

---CPU---		----- TIME % -----				--- MT % ---	
NUM	TYPE	ONLINE	LPAR BUSY	MVS BUSY	PARKED	PROD	UTIL
0	CP	100.00	4.28	4.15	0.00	100.00	4.28
1	CP	100.00	1.41	1.42	0.00	100.00	1.41
TOTAL/AVERAGE			2.84	2.79		100.00	2.84
2	IIP	100.00	76.08	75.23	0.00	96.48	73.40
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TOTAL/AVERAGE			71.85	69.38		96.78	69.52
----- MULTI-THREADING ANALYSIS -----							
CPU	TYPE	MODE	MAX CF	CF	AVG TD		
	CP	1	1.000	1.000	1.000		
	IIP	2	1.804	1.746	1.928		

$$\text{MT \% UTIL} = 76,08 * 96,48 / 100 = 73,40$$

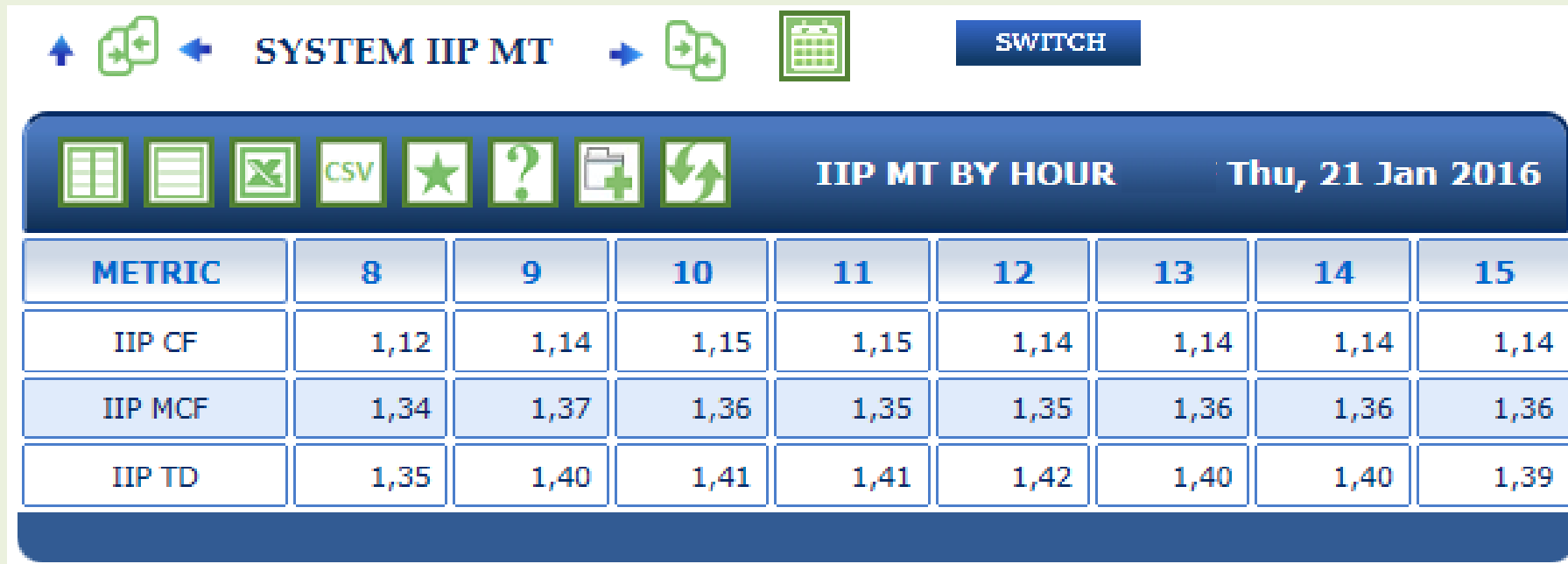




# SMT metrics

- Exercise 1

Knowing that zIIP LPAR busy at 11 is 90%, calculate zIIP CORE utilization in that hour



METRIC	8	9	10	11	12	13	14	15
IIP CF	1,12	1,14	1,15	1,15	1,14	1,14	1,14	1,14
IIP MCF	1,34	1,37	1,36	1,35	1,35	1,36	1,36	1,36
IIP TD	1,35	1,40	1,41	1,41	1,42	1,40	1,40	1,39

# SMT metrics

- Exercise 1

Knowing that zIIP LPAR busy at 11 is 90%, calculate zIIP CORE utilization in that hour

- **CF = 1,15**

- **MCF = 1,35**

- **Core productivity =  $CF / MCF = 85,2\%$**

- **Core utilization =  $90\% * 85,2\% = 76,7\%$**



# SMT metrics

- With SMT enabled all accounting fields (SMF 30, 72, etc) reports zIIP consumption of workloads as MT-1 Equivalent Time and Service Units
- MT-1 Equivalent Time is the zIIP time that would have taken to run the same work in MT-1 mode
- MT-1 Equivalent Time is internally calculated as  
$$\text{MAX CF} * \text{zIIP time}$$
- All measurements are inflated (if Max CF is greater than 1)



# SMT metrics

- Most important consequence of MT-1 Equivalent Time measurements is that when working in MT-2 you have to change the calculation of the capacity used by any workload

Example of old algorithm valid when SMT is not active:

- Workload A used 1.800 zIIP seconds in 1 hour
- 1 CORE is targeted 1.000 MIPS
- **used COREs =  $1.800 / 3.600 = ,5$**
- **used MIPS =  $1.000 * ,5 = 500$**



# zIIP and SMT

- Exercise 2

Workload A uses 1800 zIIP seconds; 1 CORE is targeted 1.000 MIPS  
calculate its MIPS usage if MT=2 and MAX CF is 1,25



# zIIP and SMT

- Exercise 2

Workload A uses 1800 zIIP seconds; 1 CORE is targeted 1.000 MIPS  
calculate its MIPS usage if MT=2 and MAX CF is 1,25

- Workload A used 1.800 zIIP seconds in 1 hour
- 1 CORE is targeted 1.000 MIPS
- **used COREs =  $1.800 / 1,25 / 3.600 = 0,4$**
- **used MIPS =  $1.000 * 0,4 = 400$**



# SMT metrics

- To activate SMT on z/OS, you have to:
  - ✓ define the PROCVIEW CORE option in LOADxx; if you do not want to use SMT you can omit the PROCVIEW parameter or specify PROCVIEW CPU which is the default; IPL is needed to change it
  - ✓ set MT\_ZIIP\_MODE=2 in IEAOPTxx; it can be dynamically changed



# SMT metrics

↑ SYSTEMS →

SYSTEMS CONFIGURATION

CEC	SYSTEM	LPARNAME	SYSNAME	SYSPLEX	OS LEVEL	GMTOFF	HDISP	CPUS	AAPS	IIPS	CORE	MT CPU	MT IIP
					ZV020200	1,0	Y	3	0	3	N	1	1
					ZV020200	1,0	Y	10	0	8	N	1	1
					ZV020100	1,0	Y	19	0	9	N	1	1
					ZV020100	1,0	Y	19	0	9	N	1	1
					ZV020100	1,0	Y	19	0	9	N	1	1
					ZV020200	1,0	Y	1	0	1	N	1	1
					ZV020200	1,0	Y	3	0	2	N	1	1
					ZV020200	1,0	Y	1	0	0	N	1	0
					ZV020200	1,0	Y	3	0	2	N	1	1
					ZV020200	1,0	Y	2	0	2	N	1	1
					ZV020100	1,0	Y	2	0	2	Y	1	2
					ZV020100	1,0	Y	2	0	2	Y	1	2
					ZV020100	1,0	Y	1	0	1	Y	1	2
					ZV020100	1,0	Y	1	0	1	Y	1	2
					ZV020100	1,0	Y	8	0	6	Y	1	1
					ZV020100	1,0	Y	8	0	6	Y	1	1
					ZV020200	1,0	Y	3	0	4	N	1	1







# A real case



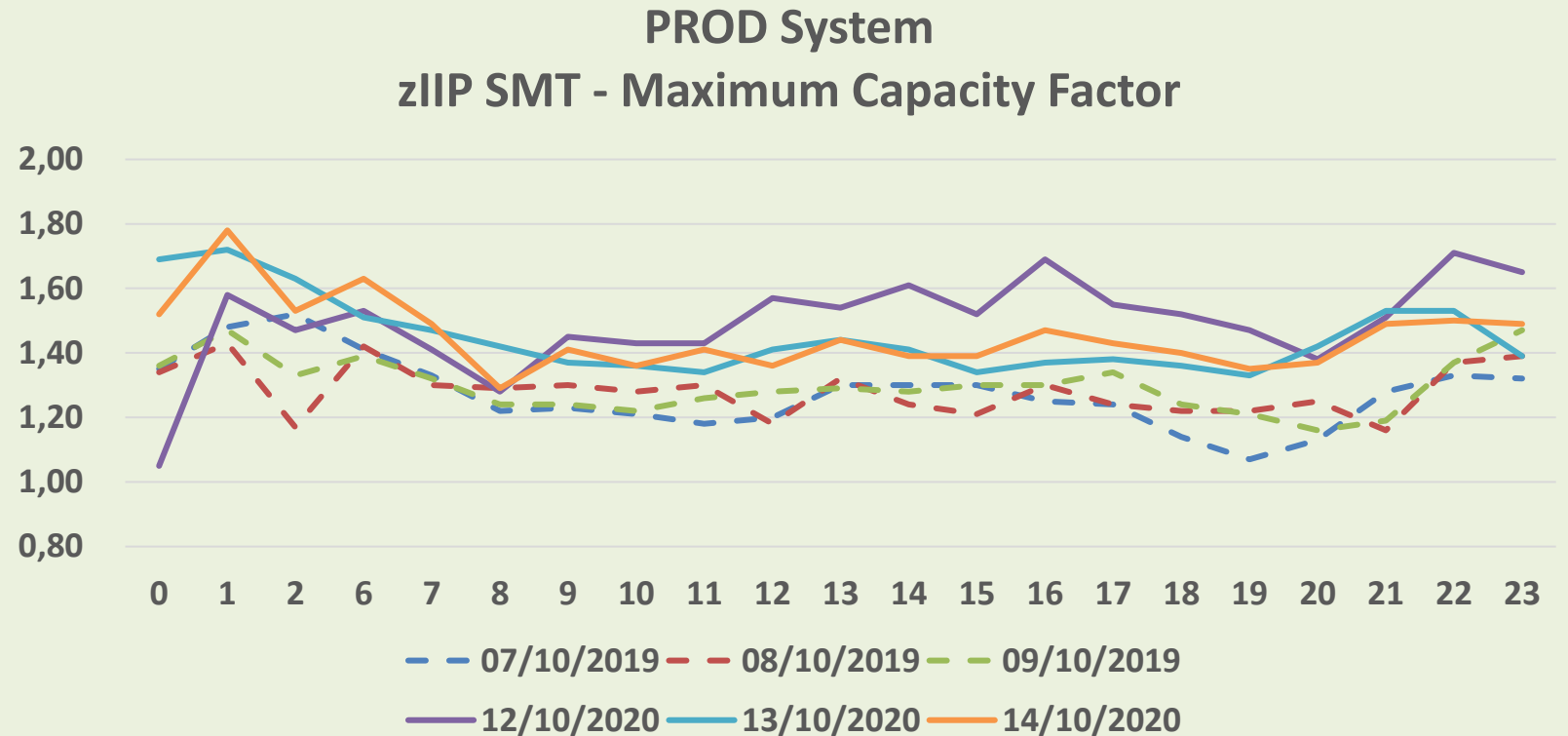
# A real case

- One of our customers migrated from z13 to z15
- They greatly increased the number of zIIPs to eliminate zIIP eligible on CPU
- zIIP eligible on CPU was almost eliminated and average zIIP busy was greatly reduced
- They wanted to check the effects on SMT



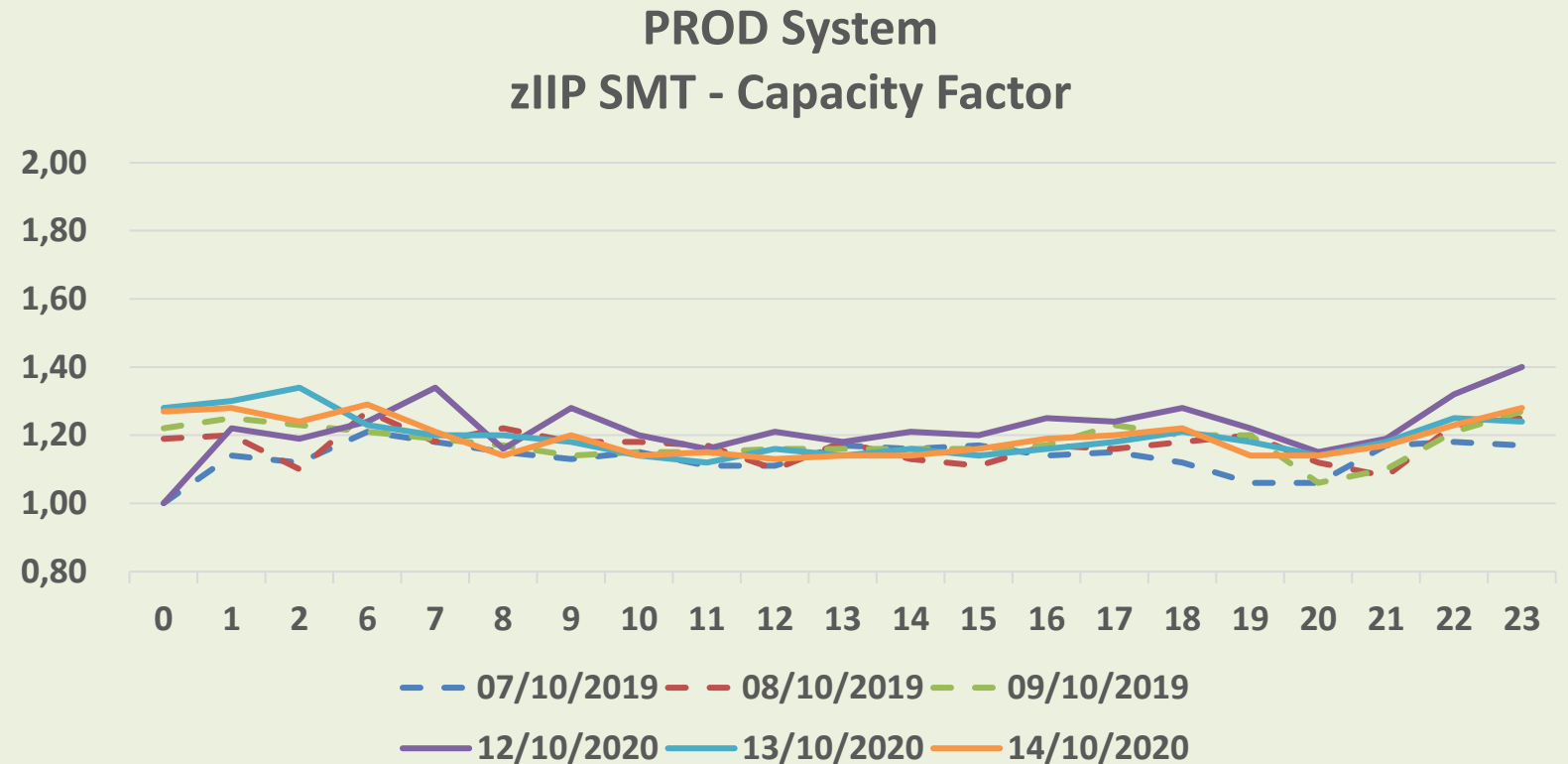
# A real case

- Maximum Capacity Factor
- Dotted lines are for z13, continuous lines are for z15
- Potential SMT benefits increased



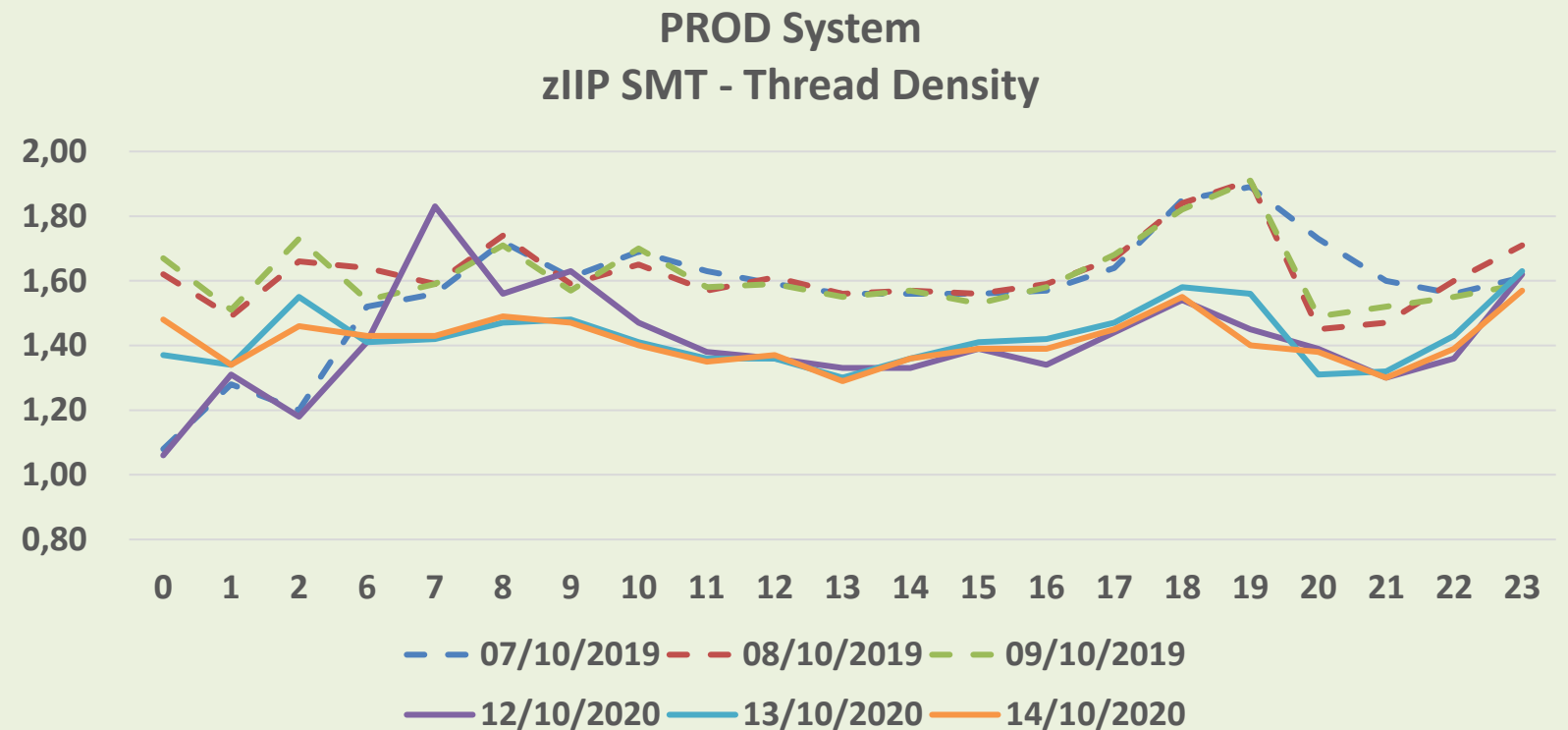
# A real case

- Capacity Factor
- Real SMT benefits only slightly better



# A real case

- Thread Density
- Reduced because of the increased number of zIIPs





# Questions?

